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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/526,289	01/06/2006	Thomas Farrell	05-170	4684
20306 7590 01/28/2009 MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP 300 S. WACKER DRIVE			EXAMINER	
			GOLUB, MARCIA A	
32ND FLOOR CHICAGO, IL 60606			ART UNIT	PAPER NUMBER
			2828	
			MAIL DATE	DELIVERY MODE
			01/28/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Comments	10/526,289	FARRELL ET AL.				
Office Action Summary	Examiner	Art Unit				
	MARCIA A. GOLUB	2828				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠ Responsive to communication(s) filed on <i>17 No</i>	ovember 2008					
<i>;</i> —	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
•	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
closed in accordance with the practice under L	x parte quayre, 1955 C.D. 11, 40	0.0.210.				
Disposition of Claims						
4) Claim(s) <u>47-66,71-89 and 92</u> is/are pending in	4)⊠ Claim(s) <u>47-66,71-89 and 92</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>47-66, 71-89 and 92</u> is/are rejected.						
7) Claim(s) is/are objected to.						
· · · · — · ·	· · · · · · · · · · · · · · · · · · ·					
Application Papers						
·						
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on <u>17 November 2008</u> is/are: a) accepted or b) dojected to by the Examiner.						
	·- · · · - ·	•				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) Ine oath or declaration is objected to by the Ex	11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) \[ \sum \text{Notice of References Cited (PTO-892)} \]	4) ☐ Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	te				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal Po	atent Application (PTO-152)				

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### **DETAILED ACTION**

### Response to Arguments

Applicant's arguments filed 11/17/08 have been fully considered but they are not persuasive.

Regarding applicant's argument that the apparatus illustrated in Fig 2 is applicant's own invention and does not constitute prior art, the examiner points out that a mere amendment to the specification that removes the word "conventional" is not sufficient to disqualify the disclosure as prior art, therefore an affidavit by the applicants is required to confirm that Fig 2 was not known to the applicant prior to the invention, see *Ex parte* JI-YOUNG LEE (Appeal 2006-2328, Re: 10/131,049, Patent 6,064,443). The rejection in view of Fig 2 is repeated since the issue has not been resolved.

Regarding applicant's argument that the examiner misinterpreted 37 CFR 1.83(a), and that features mention in the objection to the drawings are unnecessary, the examiner disagrees and points out that she determined that the features required to be shown in the drawings are, in fact, necessary for the understanding of the applicant's invention, and that an inclusion of flow charts and such to illustrate the claimed processes would not be duplicative, but beneficial to the understanding of the invention.

Regarding applicant's argument that the limitations rejected under 112 1<sup>st</sup> are enabling, the examiner disagrees and repeats the rejection.

Regarding applicant's argument that the processes and the graphs are readily and easily understood by those skilled in the art, the examiner is requiring the applicant to submit relevant documents that would ascertain the level of one of ordinary skill in the art.

Regarding applicant's argument that the limitations rejected under 112 2<sup>nd</sup> are clear and definite, the examiner disagrees and repeats the rejection.

### **Drawings**

Figure 2 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). Corrected drawings in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. The replacement sheet(s) should be labeled

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"Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action.

The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. The claims describe using a program/process to control a known laser measurement system; however the process flow chart that describes the claimed process is not illustrated in the drawing. Therefore, the process flow chart must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action.

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 47-66, 71-89 and 92 are rejected under 35 U.S.C. 112, first paragraph,

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as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The specification describes several different processes for using the typical system of Fig 2 and known laser of Fig 1 to find specific parameters about the laser. The claims described processes that were not explained in the specification and are not readily understood from the descriptions. The graphs of the output data are not explained in such a way as to facilitate understanding of the process by one of ordinary skill in the related art. Since the system is typical in the art the applicant must explain what modification to the system is their invention. If the invention is the new program that is used to run the processor then this program must be presented in the specification.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 47-66, 71-89 and 92 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The meaning of the following limitations: "sample index", "normalizing" and "effecting a change in relationship between the control currents and the first sample index" is not clear from the context of the claim, the specification does not cure this deficiency.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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Claims 47-66, 71-89 and 92 are rejected under 35 U.S.C. 102(b) as being anticipated by Applicant's Admitted Prior Art (2006/0109879), hereinafter AAPA.

Figs 1 and 2 of AAPA disclose:

"a current source control [28] for varying control currents for a specific section [2,6,4] of a laser diode device [10] over a range of values in a first sample index so as to obtain a set of output values for that section of the laser diode; and

a control system [25] for normalizing the set of output values, wherein the normalizing of the set of output values compensates for non-linearities in the output values by effecting a change in relationship between the control currents and the sample index."

### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

This Office action has an attached requirement for information under 37 CFR 1.105. A complete reply to this Office action must include a complete reply to the attached requirement for information. The time period for reply to the attached requirement coincides with the time period for reply to this Office action.

#### Contact Info

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MARCIA A. GOLUB-MILLER whose telephone number is (571)272-8602. The examiner can normally be reached on M-Th 9:30-6 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

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supervisor, Minsun Harvey can be reached on 571-272-1835. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Marcia A. Golub-Miller/

/Minsun Harvey/ Supervisory Patent Examiner, Art Unit 2828